

## **Terms and Conditions**

### **General**

1. PFSB refers to Phillip Futures Sdn Bhd.
2. Client refers to PFSB client.
3. Promotion refers to “Phillip Star Trader”.
4. Promotion period is from 1<sup>st</sup> July 2021 to 31<sup>st</sup> December 2021 (both dates are inclusive).
5. Futures products refer to all local and foreign products offered by Phillip Futures. Refer to the link < <https://bit.ly/2TIMVAU>> for latest products list.
6. CFD products refer to Bursa Malaysia Stock Exchange, NYSE (“The New York Stock Exchange”) and NASDAQ (“National Association of Securities Dealers Automated Quotations”) Shares CFD on Phillip Futures product list. Refer to the link < <https://bit.ly/3pZ3HaU>> for the latest products list.
7. Phillip Futures HQ refers to Phillip Futures Kuala Lumpur Headquarters.
8. Phillip Futures branches refer to Phillip Futures Kota Damansara, Phillip Futures Malacca, Phillip Futures Kuching, Phillip Futures Johor, Phillip Futures Penang, Phillip Futures Sibul and Phillip Futures Kota Kinabalu.

### **Eligibility**

1. This promotion is applicable to all existing and new clients of PFSB except for:
  - I. L2, L3, L5, L6 clients
  - II. Local Participants
  - III. Corporate clients
  - IV. Special clients (Clients who enjoy special commission rate)
  - V. Marketing Representative (MR) under MR scheme.
2. Client who has pending request to close his/her account or withdraws all within the promotion period stated above are not entitled to join the promotion nor entitled to redeem the points and gift rewards. Points will only be collected within the promotion period from the day client starts trading.
3. PFSB has the sole discretion to exclude any person from participating in this promotion without any obligation to furnish any notice and/or reason.
4. Participants are required to register for this promotion through <https://bit.ly/phillipstartrader> to entitle for the points. Kindly ensure that all information provided is correct. Failure to furnish correct information will lead to forfeiture from this promotion.
5. Referral signed up under Marketing Representative (MR) Scheme with PFSB will not be entitle for this campaign.
6. By participating in the promotion, all participants are deemed to have read, understood and agree to be bound by the Terms and Conditions herein and further agree that any decision by the organisers and/or any organizer in relation to every aspect of the campaign, including the rewards shall be final, binding and conclusive. No correspondence, queries, appeals or protest (whether verbal or written) will be entertained.
7. By participating in this promotion, all eligible participants hereby expressly and irrevocably authorize the organizers to disclose any information that the participants have provided to the organizers for the purpose of cross selling, marketing and promotions.
8. PFSB reserve the right to change, amend or withdraw the Terms and Conditions of the campaign (or any part thereof) without any liability on its part whatsoever, to cancel, postpone, terminate, suspend, end, stop or in any other way cease the campaign at any time without prior notice.

## Promotion Mechanism

### All futures product and Shares CFD

1. Clients will be given 1 point for every RM10 commission paid. (Refer to Table 1)
2. In the event if there are decimal point, we will round up or round down to the nearest number. For example: Amount of RM 1,056.50 will be rounded up to RM1,060 in which 106 points will be collected and / or amount of RM1,004.25 will be rounded down to RM1,000 in which 100 points will be collected.
3. For clients to be entitle for the 10 points, futures and CFD new account opening will need to have at least one trade and / or transaction traded within the promotion period. (Refer to Table 2)
4. The referral who refers prospect to open futures or CFD account with PFSB within the promotion period will be rewarded with 10 points each when the referred account is activated and traded at least one trade and / or transaction within the promotion period. (Refer to Table 3)
5. Existing clients can only claim up to a maximum of 100 points under the friend referral promotion for futures account opening and CFD account opening within the promotion period.
6. Marketing Representative that referred new clients for account opening will not be entitle for "Friend Referral Point Rewards".
7. This promotion is applicable for all electronic and call-in trades executed through Phillip Nova, Poems Professional and Phillip CFD Trader trading platform. Cash settlement will not apply.
8. Any trades made before 1<sup>st</sup> July 2021 and / or after 31<sup>st</sup> December 2021 will not be taken into account for the promotion.
9. Points collected for new clients will only be eligible after the account has been activated.
10. Phillip Points Rewards will be based on the points rewards categories as shown below:

### Phillip Points Rewards Categories

Details	Points Rewards
Every RM 10 of total gross commission	1 point

Table 1

Details	Account Opening Points Rewards
Futures Account Opening	10
CFD Account Opening	10

Table 2

Details		Friend Referral Points Rewards
Futures	Referred Account's activated	10
CFD	Referred Account's activated	10

Table 3

## Cash and Gift Rewards

1. USD exchange rate will be fixed at 4.12 for commission calculation of CFD products.
2. Exchange rate for futures product will be based on commission rate in MYR based according to client's monthly statement.
3. All futures and CFD clients with accumulated points throughout the promotion period will be entitled to receive cash rewards at the end of the campaign based on the table as shown below:

Tier	Cash Rewards
5,000 points & above	Cash Rewards RM4,000
4000 – 4,999 points	Cash Rewards RM 3,500
3,000 – 3,999 points	Cash Rewards RM 3,000
2,000 – 2,999 points	Cash Rewards RM 2,500
1,000 – 1,999 points	Cash Rewards RM 2,000
750 - 999 points	Cash Rewards RM 1,500
500 - 749 points	Cash Rewards RM 1,000
250 - 499 points	Cash Rewards RM 500

**Table 4**

4. Futures or CFD clients who has collected points rewards of more than 35,000 points will only be eligible for gift rewards as shown on the table below:

Ranking	Gift Rewards
1 <sup>st</sup> prize	Rolex Voucher (Worth RM 35,000)
2 <sup>nd</sup> prize	Apple Voucher (Worth RM 10,000)
3 <sup>rd</sup> prize	Gold Voucher (RM5,000)

**Table 5**

5. In the event if there are at least 3 clients collect more than 35,000 points, lucky draw will be conducted.
6. If there are only 2 or less than 2 clients collect more than 35,000 points, no lucky draw will be conducted. (For example: When there are only 2 clients collect more than 35,000 points, there will be no lucky draw session, thus we will be giving the cash rewards of the highest tier to the 2 winners).
7. Each client will only be entitled for either cash rebates or gift rewards whichever is higher.

Registration Cut-Off Date	Date of Announcement for Gift Rewards
31 December 2021	31 January 2022

8. All futures and CFD clients are required to register for the promotion before the cut-off date provided above.
9. Winners of gift rewards will be announced to clients on 31 January 2022.
10. Top 3 winners are required to respond before 7<sup>th</sup> February 2022, 6.00pm from notification date in order to be eligible winners. Winners that do not respond will be forfeited.
11. In the event if winners prefer to receive the prize value of gift rewards in cash, winners will only be entitled for 60% of the prize value and it will be credited into winners' futures or CFD trading account.
12. Winners are required to redeem the gift rewards before 31 March 2022. Any redemption after 31 March 2022 will not be entertained and the gift reward will be forfeited. A winner whose gift reward has been forfeited shall not be entitled to any payment or compensation whatsoever from PFSB.
13. Cash rebates will be credited into clients' futures or CFD account on 7<sup>th</sup> February 2022.
14. PFSB will not be liable for any loss and/or damages suffered by any client arising from this promotion.
15. The top 3 winners of gift rewards will be selected through a computerized draw as PFSB may determine in its sole and absolute discretion.
16. Value of gifts may vary; PFSB reserves the right to replace any gift rewards with items of similar value without prior notice.
17. Cash rebates and gift rewards are non-refundable, non-exchangeable, not redeemable for cash, and non-transferable to another person's trading account. PFSB makes no representation, warranty or undertaking whatsoever as to any implied terms and conditions with respect to the prizes, including, without limitation, relating to quality, merchantability or fitness for a particular purpose. PFSB assumes no liability or responsibility whatsoever in respect to defect or deficiency of the prizes and will not entertain any correspondence in this regard. All winners shall accept the prizes on an "as is" basis.
18. Acceptance of any gift rewards shall constitute consent on the winner's part to allow the use of winner's names, photographs, audio, visual recordings and/or likeness by PFSB for editorial, advertising, promotional, marketing and/or other purposes without further compensation except where prohibited by law.

#### **US Shares CFD Promotion Mechanism**

1. All existing and new CFD clients are entitle to enjoy 0.3% or minimum USD10 commission rate for all NYSE and NASDAQ Shares CFD.
2. All existing and new CFD clients are entitle to enjoy free live price feed for all NYSE and NASDAQ Shares CFD with the conditions of: have at least RM5,000 equity balance in the CFD trading account and perform at least 1 trade on NYSE/NASDAQ Shares CFD per month.
3. In the event that there's no trade on NYSE/NASDAQ Shares CFD in the previous month, the free live price feed will be disable on the following month.